

## PART B - FEE(S) TRANSMITTAL

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21186

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08/18/2006

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
 P.O. BOX 2938  
 MINNEAPOLIS, MN 55402

11/21/2006 EAREGAY2 00000040 10620040

01 FC:2501 700.00 UP  
 02 FC:8001 30.00 UP

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Kathleen E. Rothrock	(Depositor's name)
<i>Kathleen E. Rothrock</i>	(Signature)
11-16-06	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/620,840	07/16/2003	Karen Duff	1310.002US1	8787

TITLE OF INVENTION: TRANSGENIC MICE COMPRISING A GENOMIC HUMAN TAU TRANSGENE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	11/20/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHEN, SHIN LIN	1632	800-018000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 \_\_\_\_\_  
 2 Schwegman, Lundberg,  
 3 Woessner & Kluth, P.A.

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Albert Einstein College of Medicine of Yeshiva University  
 Research Foundation for Mental Hygiene, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Bronx, New York 10461

Menands, New York 12204

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

## 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☒ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 19-0743 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Janet E. Embreyson*  
 Janet E. Embreyson

Typed or printed name

Date

November 16, 2006

Registration No.

39,665

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Karen Duff et al.

Title: TRANSGENIC MICE COMPRISING A GENOMIC HUMAN TAU TRANSGENE

Docket No.: 1310.002US1

Filed: July 16, 2003

Examiner: Shin-Lin Chen

Customer No.: 21186

Serial No.: 10/620,840

Due Date: November 18, 2006

Group Art Unit: 1632

Confirmation No.: 8787

Commissioner for Patents

Attn: MAIL STOP ISSUE FEE

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Alexandria, VA 22313-1450

**Notice of Allowance Date:**

August 18, 2006

We are transmitting herewith the attached:

- ☒ A check in the amount of \$700.00 to cover the Small Entity Issue Fee Payment.
- ☒ A check in the amount of \$30.00 to cover the Extra Patent Copies Fee (10 copies).
- ☒ Issue Fee Transmittal (Form PTOL-85).
- ☒ A return postcard.

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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

By

Janet E. Embretson  
Reg. No. 39,665  
JEE:CMG:ker

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Attn - MAIL STOP ISSUE FEE, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16 day of November, 2006.

KATHLEEN E. ROTHROCK  
Name

Kathleen E. Rothrock  
Signature